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(Attorney Docket No. 03797.00215)

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person actually depositing)

In the Application of: Cabrera, et al.

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FEB 1 9 2002

Serial Number: <u>10/007,060</u>

**Technology Center 2100** 

Filing Date:

November 5, 2001

Title:

**Transaction Coordination Including Time Contracts** 

X Information Disclosure Statement

X Form 1449 with cited references

X Transmittal letter

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Attorney Docket No.: 03797.00215

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er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/007,060 **Application Number TRANSMITTAL** Filing Dat November 5, 2001 **FORM** First Nam d Inv nt r Cabrera (to be used for all correspondence after initial filing) Group Art Unit 2171 **Examiner Name** n/a Total Number of Pages in This Submission Attorney Docket Number 03797.00215 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers ☐ Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached ☐ Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Form 1449 w/cited references Terminal Disclaimer **Return Receipt Postcard** Express Abandonment Request Request for Refund CD, Number of CD(s) Please charge any additional fees or credit overpayment to Certified Copy of Priority Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing **Technology** Center 210 Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Charles L. Miller Banner & Witcoff, Ltd. Individual name Signature Date February 11, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 03797.00215)

In the Application of:	)
Cabrera, et al.	) )
G:-1N 10/007 000	) Group Art Unit: 2171
Serial No.: 10/007,060	) Examiner: n/a
Filed: November 6, 2001	)
For: Transaction Coordination Including Time Contracts	) )

# INFORMATION DISCLOSURE STATEMENT RECEIVED

Commissioner for Patents Washington, D.C. 20231

FEB 1 9 2002

**Technology Center 2100** 

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A completed Form –1449 along with the references is enclosed.

### OTHER PRIOR ART – NON-PATENT LITERATURE DOCUMENTS:

- 1. XINGHUO YU; ZHIHONG MAN, "Finite \*time\* \*output\* tracking problem with terminal sliding mode control", Computational Engineering in Systems Applications, Part vol. 1, pp. 28-31 vol. 1, Publisher Gerf EC Lille, Lille France
- 2. EDGE, S.W., "An adaptive \*timeout\* algorithm for retransmission across a packet switching network", Computer Communication Review, vol. 14, no. 2, pp. 248-255, Published in USA.
- 3. WALLSTROM, BENGT, "Queueing System with \*Time\*-\*Outs\* and Random Departures", Ericsson Technics, v 33 n 2 1977, pp. 151-174.

This Information Disclosure Statement is being filed within three months of the application filing date or before the mailing of a first Office Action on the merits, accordingly, no fee or separate requirements are required. However, if a fee is due in

connection with this filing, please charge any fees or credit overpayment associated with this paper to Deposit Account No. 19-0733.

Respectfully submitted, BANNER & WITCOFF, LTD.

Date: February 11, 2002

Charles L. Miller

Reg. No. 43,805

PTO/SB/08A (10-01)

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Complete if Known

Substitute Reform 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Application Number** 10/007,060 11/06/2001 Filing Date First Named Inventor Cabrera Group Art Unit 2171 **Examiner Name** n/a

(use as many sheets as necessary)

03797.00215 Sheet of Attorney Docket Number

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite	Document Number Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS					
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	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document		T <sup>6</sup>
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO C mplet if Known **Application Number** 10/007,060 INFORMATION DISCLOSURE Filing Date November 5, 2001 STATEMENT BY APPLICANT First Named Inventor Cabrera **Group Art Unit** 2171 (use as many sheets as necessary) **Examiner Name** n/a 03797.00215 Sheet Attorney Docket Number of

	,	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		XINGHUO YU; ZHIHONG MAN, "Finite *time* *output* tracking problem with terminal sliding mode control", Computational Engineering in Systems Applications, Part vol. 1, pp. 28-31 vol. 1, Publisher - Gerf EC Lille, Lille France	
		EDGE, S.W., "An adaptive *timeout* algorithm for retransmission across a packet switching network", Computer Communication Review, vol. 14, no. 2, pp. 248-255, Published in USA.	
		WALLSTROM, BENGT, "Queueing System with *Time*-*Outs* and Random Departures", Ericsson Technics, v 33 n 2 1977, pp. 151-174.	
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